

Practitioner's Docket No. MI22-1563

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Craig M. Carpenter

Application No.: 09/805,620 Group No.: 1762  
Filed: March 13, 2001 Examiner: Eric B. Fuller  
For: "Chemical Vapor Deposition Methods"

Assistant Commissioner for Patents and Trademarks  
P.O. Box 1450, Mail Stop 16, Alexandria, VA 22313-1450  
ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

## I. REFUND REQUEST

This is a request for a refund, with respect to the debit to Deposit Account 23-0925, shown on the statement dated December 6, 2005 for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.  
A copy of the Patent Application Information Retrieval page.  
A copy of the Transmittal Form, dated November 29, 2005, accompanies this request.  
A copy of the Supplemental Information Disclosure Statement dated November 29, 2005, accompanies this request including a copy of the 1449 Form.

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

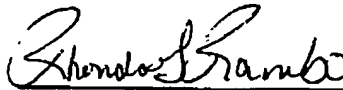
I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

## FACSIMILE

- XX transmitted by facsimile to the Patent and Trademark Office. 571-273-6500

  
Signature

Date: 25 Jan. 2006

Rhonda G. Rambo

(type or print name of person certifying)

(Request for Debit (Improper Credit of Deposit Account)—page 1 of 2)

7 total pages

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**II. FEES CHARGED FOR WHICH REFUND IS REQUESTED****AMOUNT OF  
REFUND  
REQUESTED**

Improper charge for Supplemental Information Disclosure Statement \$180.00

**TOTAL REFUND REQUESTED****\$180.00****III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

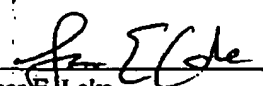
On November 29, 2005 we filed a Supplemental Information Disclosure Statement. The Supplemental Information Disclosure Statement was filed before the mailing date of a first office action after the filing of a request for continued examination. Therefore, no additional fees are believed to be required.

Please credit Deposit Account No. 23-0925 with the above fee amount.

**IV. MANNER OF REFUND**

Please Credit Account No. 23-0925.

Date: 25 Jan 2006

  
James E. Lake  
Reg. No. 44,854  
Wells St. John P.S.  
601 West First Ave., Suite 1300  
Spokane, WA 99201-3828  
Tel. No.: (509) 624-4276  
Customer No.: 021567

(Request for Debit (Improper Credit of Deposit Account)—page 2 of 2)

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## Deposit Account Statement

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**Deposit Account Statement**

**Requested Statement Month:** December 2005  
**Deposit Account Number:** 230925  
**Name:** WELLS ST JOHN PS  
**Attention:** RHONDA RAMBO  
**Address:** 601 WEST FIRST AVENUE SUITE 1300  
**City:** SPOKANE  
**State:** WA  
**Zip:** 99201-3817  
**Country:** UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
12/01	109	5773540	IR1-033	2552	\$1,150.00	\$11,783.00
12/01	1849	78764498	LE45-101	7001	\$975.00	\$10,808.00
12/01	1897	78764530	LE45-102	7001	\$975.00	\$9,833.00
12/02	2	10775755	2041	2202	\$75.00	\$9,758.00
12/02	1347	78765385	CA78-101	7001	\$325.00	\$9,433.00
12/02	1494	78765460	PO4-1262	7001	\$325.00	\$9,108.00
12/02	1650	2306391	WA23-168	7205	\$100.00	\$9,008.00
12/02	1651	2306391	WA23-168	7208	\$200.00	\$8,808.00
12/02	1694	2306394	WA23-156	7205	\$100.00	\$8,708.00
12/02	1695	2306394	WA23-156	7208	\$200.00	\$8,508.00
12/05	7	78225411	PO4-1193	7004	\$150.00	\$8,358.00
12/05	12	PAYMENT		9203	-\$6,000.00	\$14,358.00
12/06	5	09805620	1317P1 m22-1563	1806	\$180.00	\$14,178.00
12/07	6	09834660	MI22-1637	1251	\$120.00	\$14,058.00
12/07	7	09834660	MI22-1637	1401	\$500.00	\$13,558.00
12/07	9	11268283		9204	-\$125.00	\$13,683.00
12/07	10	10879372	MI22-2540	1201	\$400.00	\$13,283.00
12/08	57	10911119	MI22-2579	1251	\$120.00	\$13,163.00
12/08	58	10911119	MI22-2579	1814	\$130.00	\$13,033.00
12/08	82	10253214		9204	-\$180.00	\$13,213.00
12/09	537	2314938	WA23-141	7205	\$100.00	\$13,113.00
12/09	538	2314938	WA23-141	7208	\$200.00	\$12,913.00
12/09	701	2314937	WA23-142	7205	\$100.00	\$12,813.00
12/09	702	2314937	WA23-142	7208	\$200.00	\$12,613.00
12/12	23	6844577		1811	-\$100.00	\$12,713.00
12/13	76	10155524		9204	-\$395.00	\$13,108.00
12/13	1546	78225878	PO4-1194	7004	\$150.00	\$12,958.00
12/13	1649	78225896	PO4-1195	7004	\$150.00	\$12,808.00
12/13	1715	78225940	PO4-1198	7004	\$150.00	\$12,658.00
12/13	1753	78230465	PO4-1199	7004	\$150.00	\$12,508.00

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## Select Search

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*1122-1563*  
09/805,620 Chemical vapor deposition apparatuses and deposition method

Transaction History	
Date	Contents Description
12-13-2005	Mail Non-Final Rejection
12-12-2005	Non-Final Rejection
11-29-2005	Information Disclosure Statement considered
10-17-2005	Information Disclosure Statement considered
11-29-2005	Reference capture on IDS
11-29-2005	Information Disclosure Statement (IDS) Filed
10-17-2005	Reference capture on IDS
10-17-2005	Information Disclosure Statement (IDS) Filed
10-24-2005	Date Forwarded to Examiner
10-24-2005	Date Forwarded to Examiner
10-17-2005	Request for Continued Examination (RCE)
10-24-2005	DISPOSAL FOR A RCE/CPA/129 (express aband
10-17-2005	Request for Extension of Time - Granted
10-17-2005	Workflow - Request for RCE - Begin
05-17-2005	Mail Final Rejection (PTOL - 326)
05-13-2005	Final Rejection
03-21-2005	Request for Refund
03-11-2005	Date Forwarded to Examiner
03-08-2005	Response after Non-Final Action
03-08-2005	Request for Extension of Time - Granted
12-06-2004	Mail Non-Final Rejection
12-04-2004	Non-Final Rejection
11-19-2004	Date Forwarded to Examiner
11-19-2004	Date Forwarded to Examiner
11-12-2004	Request for Continued Examination (RCE)
11-19-2004	DISPOSAL FOR A RCE/CPA/129 (express aband
11-12-2004	Information Disclosure Statement (IDS) Filed
11-12-2004	Workflow Incoming amendment IFW

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PTO/SB/21 (09-04)

Approved for use through 07/31/2008, OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission	Application Number	09/805,620
	Filing Date	March 13, 2001
	First Named Inventor	Craig M. Carpenter
	Art Unit	1762
	Examiner Name	Erie B. Fuller
	Attorney Docket Number	MZZ2-1563

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-1449
<b>Remarks</b> Customer No. 021567  Although there is no fee believed due, the Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16 and 1.17 which may be required by this paper to Deposit Account No. 23-0925.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Wells St. John P.S.	
Signature		
Printed name	James E. Lake	
Date	29 Nov 2005	Reg. No. 44,854

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	 Faxed to (571)273-8300
Typed or printed name	Racquel M. Esplin
Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/805,620  
Confirmation No.....3004  
Filing Date ..... March 13, 2001  
Inventor..... Craig M. Carpenter  
Assignee..... Micron Technology, Inc.  
Group Art Unit.....1762  
Examiner ..... Eric B. Fuller  
Customer No. ....021567  
Attorney's Docket No. ....MI22-1563  
Title:.....Chemical Vapor Deposition Methods

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Reference -See Attached Form PTO-1449

In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the United States patents and other references listed on the attached Form PTO-1449. No admission is made regarding whether all the submitted references are prior art.

This Supplemental Information Disclosure Statement is being filed after the filing of the Request for Continued Examination (RCE) Application and before receipt of the first Office Action. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Supplemental Information Disclosure Statement, please charge the fee specified under 37 C.F.R. §1.17(p) to Deposit Account No.

23-0925. Please credit Deposit Account No. 23-0925 with any overpayment of the above fee.

Citation of these references is respectfully requested.

Respectfully submitted,

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Dated: 29 Nov 2005

By:

COPY  
James E. Lake  
Reg. No. 44,854

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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. MI22-1563		SERIAL NO. 09/805,820	
LIST OF ART CITED BY APPLICANT <small>(Use several sheets if necessary)</small>					APPLICANT: Craig M. Carpenter			
					FILING DATE March 13, 2001		GROUP 1762	
<b>U.S. PATENT DOCUMENTS</b>								
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
	AA	6,200,893 B1	03/2001	Sneh, Ofer				
	AB	6,503,330 B1	01/2003	Sneh et al.				
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
<b>FOREIGN PATENT DOCUMENTS</b>								
		Document Number	Date	Country	Class	Subclass	Translation	
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	AJ							
	AK							
	AL							
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
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	AN							
	AO							
EXAMINER		DATE CONSIDERED						
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>								

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